



DEC 26 2001

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Page 1

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| | | | |
|--------------------|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 09/932,793 | 08/17/2001 | Chew Kai Hwa | 1085-029-DDH |

21034
IPSOLON LLP
805 SW BROADWAY, #2740
PORTLAND, OR 97205

CONFIRMATION NO. 5435

FORMALITIES LETTER



OC000000006581535

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2/27/2001 SFELEKE1 00000010 09932793

FD-205

65.00 GP

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Section 5



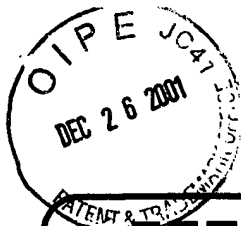
PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | |
|---|----------------------|------------------------|--------------|
| <h2>TRANSMITTAL FORM</h2> <p>(to be used for all correspondence after initial filing)</p> | Application Number | 09/932,793 - | |
| | Filing Date | Aug 17 2001 | |
| | First Named Inventor | CHEW Kai Hwa | |
| | Group Art Unit | 1742 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 1085-029/ddh |

| ENCLOSURES (check all that apply) | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Copy of PTOL-85B to Accompany Issue Fee <input type="checkbox"/> Request for Patent Copies Other Enclosure(s) (please identify below): |
| Remarks <div style="border: 1px solid black; padding: 5px; text-align: center;"> Executed Declaration; return receipt postcard </div> | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-------------------------------------|
| Firm or Individual name | Douglas D. Hancock, Reg. No. 35,889 |
| Signature | |
| Date | Oct 29, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|--------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Oct 29, 2001 | | | |
| Typed or printed name | Douglas D. Hancock | | |
| Signature | | Date | Oct 29, 2001 |



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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL**
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 65

Complete if Known

| | |
|----------------------|--------------|
| Application Number | 09/932,793 |
| Filing Date | Aug 17, 2001 |
| First Named Inventor | CHEW Kai Hwa |
| Examiner Name | |
| Group Art Unit | 1742 |
| Attorney Docket No. | 1085-029/ddh |

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0241
Deposit Account Name: ipsolon llp

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | \$0 |
| 106 320 | 206 160 | Design filing fee | \$0 |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | \$0 |

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims | -20 = | 9 | 0 |
| Multiple Dependent Claims | -3 = | 40 | 0 |

SUBTOTAL (2) \$0.00

Certificate of Mailing under 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231

DATE: Oct 29, 2001

Douglas D. Hancock

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Fee Code | Large Entity Fee (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|------------|-----------------------|----------------------------|--|----------|
| 105 130 | 205 65 | | Surcharge - late filing fee or oath | \$65 |
| 127 50 | 227 25 | | Surcharge - late provisional filing fee or cover sheet | \$0 |
| 139 130 | 139 130 | | Non-English specification | |
| 147 2,520 | 147 2,520 | | For filing a request for <i>ex parte</i> reexamination | |
| 112 920* | 112 920* | | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | | Extension for reply within first month | \$0 |
| 116 390 | 216 195 | | Extension for reply within second month | \$0 |
| 117 890 | 217 445 | | Extension for reply within third month | \$0 |
| 118 1,390 | 218 695 | | Extension for reply within fourth month | \$0 |
| 128 1,890 | 228 945 | | Extension for reply within fifth month | \$0 |
| 119 310 | 219 155 | | Notice of Appeal | \$0 |
| 120 310 | 220 155 | | Filing a brief in support of an appeal | \$0 |
| 121 270 | 221 135 | | Request for oral hearing | |
| 138 1,510 | 138 1,510 | | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | | Utility issue fee (or reissue) | \$0 |
| 143 440 | 243 220 | | Design issue fee | \$0 |
| 561 3 | | | <input type="checkbox"/> Printed copies of patent | \$0 |
| 122 130 | 122 130 | | Petitions to the Commissioner | \$0 |
| 123 50 | 123 50 | | Processing fee under 37 CFR 1.17(q) | \$0 |
| 126 180 | 126 180 | | Submission of Information Disclosure Stmt | \$0 |
| 581 40 | 581 40 | | Recording each patent assignment per property (times number of properties) | \$0 |
| 146 710 | 246 355 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | | Request for Continued Examination (RCE) | \$0 |
| 169 900 | 169 900 | | Request for expedited examination of a design application | \$0 |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65

SUBMITTED BY

Name (Print/Type): Douglas D. Hancock
Signature:

Registration No. (Attorney/Agent): 35,889

Complete (if applicable)

Telephone: (503) 249-7066

Date: Oct 29, 2001